

Attorney Docket No.: 03652/000K015-US0

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Appeal Brief (Re-submission in response to Notification of Non-Compliant Appeal Brief (37 CFR 41.37) mailed March31, 2005 (15 pages) (in **TRIPLICATE**)
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Docket No.: 03652/000K015-US0
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:
William E. Pence et al.

Customer No.: 07278

Application No.: 10/017,498

Group Art Unit: 3621

Filed: December 14, 2001

Examiner: Calvin L. HEWITT

For: **METHOD AND APPARATUS FOR
DYNAMIC RENEWABILITY OF
CONTENT**

APPEAL BRIEF

MAIL STOP Appeal Brief - Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Appellants submit this Appeal Brief in triplicate as required by 37 C.F.R. § 1.192. A Notice of Appeal was filed October 13, 2004 in response to the Final Office Action mailed July 13, 2004. Appellants submit concurrently herewith the required fee for this Brief pursuant to 37 C.F.R. §§ 1.192 and 1.17(f). It is believed that no additional fees are required for this submission. However, should it be determined that additional fees are required or that any refund is due in connection with this application, the Commissioner is hereby authorized to charge the required fee(s) and/or credit the refund(s) due to Deposit Account No. 04-0100.

When a user requests access to content, the subscription service verifies the user's request and creates a License File to control access to the content. The License File is then downloaded to the user's computer without notifying the user and, in one embodiment, the content is then downloaded or streamed to the user. This allows constant control of access to the content without the user being aware of the controlling License File. One reason is that if a user attempts to copy the content, the License File is not copied with it and the user is denied access to the copy of the content. Denying access to improperly copied content prevents unauthorized copying.

Further, access to the content is granted continuously for as long as the user's account is in good standing. The License File must be updated to reflect the status of the user's subscription. In one embodiment, the License File is updated each time the user logs into the service. The updated License File is transparently renewed and the user is provided with uninterrupted access to his content. The transparent transmission of the License File allows the subscription service to retain control of the content without disrupting the user's continuous access to the content.

ISSUES

The first issue is whether claims 1-9 and 11-20 should be rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the written description requirement and claims 1-9, 11-20 and 22 should be rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the enablement requirement.

The second issue is whether claims 1-9, 11-20 and 22 should be rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter of the invention.

The third issue is whether claims 1-7 and 11-22 should be rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent No. 6,056,786 to Rivera et al. (“Rivera”) in view of U.S. Patent No. 6,009,401 to Horstmann.

The fourth issue is whether claims 8 and 9 should be rejected under 35 U.S.C. § 103(a) as being unpatentable over Rivera in view of Horstmann and further in view of U.S. Patent No. 5,023,907 to Johnson et al.

GROUPING OF CLAIMS

Independent claims 1 and 22 and dependent claims 2-9 are believed to be patentable over the cited art for the reasons set forth below. Claims 1-9 and 22 stand and fall together. Independent claims 19-21 and dependent claims 11-18 are believed to be patentable over the cited art for the reasons set forth below. Claims 11-21 stand or fall together and independently from the remaining claims.

ARGUMENTS

(i) Rejection of claims 1-9, 11-20 and 22 under 35 U.S.C. § 112, first paragraph

(Issue No. 1) Claims 1-9 and 11-20 stand rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the written description requirement and claims 1-9, 11-20, and 22 stand rejected under 35 U.S.C. § 112, first paragraph, as failing to comply with the enablement requirement.

The Examiner contends, for both the written description and enablement requirements, that the Specification is silent regarding the claim terms “renewing the parameters transparently” and “update said license file parameter transparently” and further that there is no enabling disclosure

because the Specification does not teach or suggest to one of ordinary skill in the art how to update or renew files transparently.

Claims 1 and 17-22 recite the elements of “transparently transmitting”; “transparently updating” or “transparently renewing” and Appellants respectfully submit that the claims comply with the written description requirement and that the invention is fully enabled to one of ordinary skill in the art.

Appellants disagree with the Examiner’s statement that the written description does not support the “transparent” elements. The Specification and claim as originally filed clearly support the term “transparently”. Claim 1, as filed, recited that the License Files are “transparently transmit[ed]” and “transparently renew[ed]”.

Support for transparently transmitting files is in the Detailed Description. The Specification sets forth that when a user requests to download content that the “License Files are then sent to the user’s computer without notifying the user, and then the content is downloaded or streamed to the user.” Specification, page 8, lines 7-8. The Specification goes on to describe that the License Files are transparently transmitted to the user’s computer either as part of the initial request for the content or as part of the process of renewing existing License Files. The License Files are transparently transmitted to prevent unauthorized use or copying of the content and the transmission does not interrupt a user’s session.

Support for transparently renewing (or updating) files is also found in the Summary of the Invention. The Specification recites that the “present invention relates to a method and apparatus for dynamically and transparently renewing the licenses associated with downloaded content.” Specification, page 4, lines 13-14. Further, License Files are transparently renewed to allow the user continuous access to content for as long as the user’s subscription is in good standing.

transparently” and “transparent switching” which suggest that there is enough support in the ‘624 patent to describe and enable the “transparent” element of the claims.

The transparent switching elements are supported by the disclosure in only two locations in the Detailed Description that describe performing actions “transparently.” The ‘624 patent, column 4, lines 5-11, discloses that the “communications application CA1 hereby transmits a data record which is transmitted to it in the computer node CN1 for transfer to the computer node CN3, transparently for the applications A and the user U1, to the communications application CA3.” Further, the “program module CRA delivers a data record transmitted by one of the computer nodes CN1 to CN2 to the computer node CN3, switches transparently between the different methods of receipt for such data records to be delivered, and controls the receipt of such data records by means of the program modules WS and BCS.” The ‘624 patent, column 7, lines 3-8.

Appellants assert that one of ordinary skill in the art possesses the knowledge to transparently transmit a file to a user and that the claims of the ‘624 patent are enabled for one of ordinary skill in the art. The disclosure of both the present Specification and the ‘624 patent illustrate that the basic concept of transparently transmitting a file is well known, such that the mechanics of such a process need not be described in detail. Appellants submit that the novelty of the presently claimed invention includes a method and apparatus of protecting electronic content by transmitting and renewing License Files without notifying a user (i.e. transparently) and not the particular way to transparently transmit the file itself.

In the present case, one of ordinary skill in the art has been provided with sufficient written description and possesses the knowledge to transparently transmit files to a user and the Specification and claims meet the disclosure requirements under 35 U.S.C. § 112, first paragraph. Thus, Appellants respectfully request that the above rejection be withdrawn or reversed.

regulates access to the programs by tracking the number of concurrent users and does not generate license files. *See*, Johnson, column 2, line 60 to column 3, line 42.

Appellants respectfully disagree with the Examiner. Claims 8 and 9 depend from claim 1 and are allowable for at least the reasons explained with respect to Issue No. 3 regarding claim 1. Further, Johnson does not teach or suggest the elements lacking from both Rivera and Horstmann and present in claims 8 and 9. Appellants respectfully submit that the present invention is not obvious and the above rejection be withdrawn or reversed.

CONCLUSION

For the foregoing reasons, the final rejection of claims 1-9 and 11-22 should be reconsidered by the Examiner or reversed in its entirety by the Board. Claims 1-9 and 11-22 are supported, definite, enabled and patentable over the prior art of record. Accordingly, the Examiner's finding of unpatentability should be reversed. Such a disposition is earnestly solicited.

Dated: April 6, 2005

Respectfully submitted,

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3. The method of claim 2, further comprising a step wherein the license file is created by a license server.

4. The method of claim 3, further comprising the step of storing the provider system license file parameters using a subscription management system.

5. The method of claim 4, further comprising the step of storing one or more user license file parameters in a registry.

6. The method of claim 5, further comprising the step of storing the content item on a content server which is part of the provider system.

7. The method of claim 6, further comprising the step of recording the user downloaded content in a user's local database.

8. The method of claim 1, wherein individual license files are created for individual content items.

9. The method of claim 1, wherein one license file is created for a plurality of content items, said license file including one or more parameters for each content item.

10. (Canceled)

11. The system of claim 21, wherein said provider system further comprises a subscription management service, operable to monitor and maintain said one or more license file parameters.

12. The system of claim 11, wherein said user system further comprises a client application for receiving user input and providing said user input to said communication application, said license storage and said content storage.

13. The system of claim 12, wherein said one or more license file parameters comprise one or more of the following parameters: date, user's country of origin, monetary value on account, user's technical information, type of content to be downloaded, number of times the content has been downloaded and grace periods.

14. The system of claim 13, wherein said license server is operable to create said license file.

15. The system of claim 14, wherein said communication server is operable to receive said license file from said license file server and to transmit said license file with said content to said user system.

16. The system of claim 15, wherein said subscription management service is operable to compare license file parameters on the content provider system and the license file parameters on the user system.

17. The system of claim 16, wherein the provider system further comprises a means to transparently update said license file by communicating an updated license file from the provider system to said user system.

18. The system of claim 17, wherein the provider system further comprises a means to transparently update said license file by communicating an updated license file parameter from the provider system to said user system.

19. A computer readable medium encoded with processing instructions for performing a method for facilitating a dynamic renewability of content between a provider system and a user system, comprising the following steps:

(a) creating a license file having one or more parameters for a content item requested by a user;

(b) transmitting the requested content item from a provider system to the user;

(c) transparently transmitting the license file to the user;

(d) subsequent to steps (a), (b), and (c), comparing the one or more parameters contained in the license file to corresponding one or more parameters maintained

allow continued access to the content by the user in accordance with the license file parameters maintained by the provider system.

21. An apparatus for providing dynamic renewability for content provided from a content provider to a user, comprising:

a content provider system, said content provider system including:

a content server, operable to store and retrieve content items;

a communication server, operable to communicate with said user, said communication server operable to receive content items from said content server and to communicate said content items to said user;

a license server, operable to monitor the amount and type of content to be communicated to said user, said license server having stored thereon one or more license files, each said license file containing one or more parameters relating to one of said content items; and

a user system, said user system including:

a communication application, operable to communicate with said communication server in order to receive content items and license files;

a license storage, operable to store said one or more license files on said user system; and

a content storage, operable to store content items requested by said user and received from said content provider system, wherein said content provider system further comprises a means to transparently transmit said license files to said user.

22. A method for downloading and renewing content for a user, comprising the steps of:

- (a) requesting, by the user, a content item;
- (b) processing the user's request at a provider system, wherein the processing step comprises the step of creating a license file having one or more parameters for the content item;
- (c) receiving, by the user, the requested content item;
- (d) transparently receiving, by the user, the license file;
- (e) allowing continued access to the content item by the user in accordance with the license file by transparently renewing the one or more parameters in the license file if the parameters in the license file differ from the corresponding parameters maintained by the provider system, such that the user is unaware of the renewing of the one or more parameters in the license file as the renewing is taking place.

Number of pulses or bits transmitted in a given period of time. For example, Bits Per Second (BPS), Words Per Minute (WPM), Characters Per Minute (CPM), or Lines Per Minute (LPM) in printer transmission.

Transparent Image An image that has had one color, usually the background, designated as 'transparent,' so that when the image is displayed in a browser, the image's background is colored with the browser's background color. The effect is an image that does not have a visible rectangular background.

Transport Protocol Class Four TP4. An International Standard Organization (ISO) transport layer protocol designated as ISO IS 8073 Class Four. TP4 has been adopted by the U.S. Department of Defense and specified in the

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